

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
Klaus Hofmann)	Group Art Unit: 3657
)	
Serial No.: 10/598,528)	
)	Examiner: Mariano Ong Sy
Filed: May 21, 2007)	
)	
<u>FOR: SAFETY DEVICE</u>)	Confirmation No.: 6952

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)

Sir:

In accordance with 37 C.F.R. §1.56, the reference listed on the attached forms PTO/SB/08a and PTO/SB/08b are being brought to the attention of the Examiner for consideration in connection with the above-identified patent application. A copy of the non-patent literature document is attached herewith.

The Applicant believes the U.S. patent listed in PTO/SB/08a is equivalent to the Japanese reference listed in the Japanese Patent Office Action listed in PTO/SB/08b.

This information is being submitted subsequent to the Notice of Allowance in the application. Accordingly,

1. X The undersigned hereby certifies:

X that each item of information contained in this statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

 that to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in this statement was known to any individual

designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this statement.

2. X A fee for \$180.00 is due under 37 C.F.R. § 1.17(p).

It is respectfully requested that the Examiner indicate consideration of the cited references by initialing the attached forms PTO/SB/08a and PTO/SB/08b and returning a copy of the initialed forms.

Respectfully submitted,

The Culbertson Group, P.C.

Date: 22 Nov 2010

By: 

Russell D. Culbertson, Reg. No. 32,124
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Austin, Texas 78746
512-327-8932
ATTORNEY FOR APPLICANT

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	10/598,528
Filing Date	May 21, 2007
First Named Inventor	Klaus Hofmann
Art Unit	3657
Examiner Name	Mariano Ong Sy
Attorney Docket Number	1299.1000

U. S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

/Mariano Sy/

Date
Considered

11/24/2010

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

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STATEMENT BY APPLICANT**

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Application Number	10/598,528
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First Named Inventor	Klaus Hofmann
Art Unit	3657
Examiner Name	Mariano Ong Sy
Attorney Docket Number	1299.1000

Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
/MS/		English translation of Japanese Office Action dated August 24, 2010 issued in Japanese patent application No. 2007-501112 (10 pages)	

Examiner Signature	/Mariano Sy/	Date Considered	11/24/2010
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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